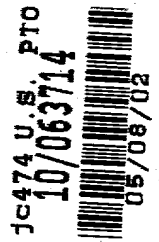


Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID: 15120
Application ID: 10063714
Title of Invention: SECRETED AND TRANSMEMBRANE
POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME
First Named Inventor: Dan Eaton
Domestic/Foreign Application: Domestic Application
Filing Date: null
Effective Receipt Date: 2002-05-08
Submission Type: Utility Patent Filing
Filing Type: new-utility
Confirmation Number: 0
Attorney Docket Number: 10466/438
Digital Certificate Holder: cn=Paul Rauch, ou=Registered Attorneys, ou=Patent and
Trademark Office, ou=Department of Commerce, o=U.S.
Government, c=US
Certificate Message Digest: RAYhkkfURX4swj4/yTGg==
Total Fees Authorized: \$740.0
Payment Category: CC - Credit Card
Credit Card Number: *****1005
Expiration Date: 05022004
Card Holder Name: M HOFFMAN 10466/438
RAM User ID: EFSPROD
RAM Accounting Date: 2002-05-08
RAM Sequence Number: 449038
RAM Payment Status: RAM success
Postal Code: 60611



TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket
Number:

10466/438

Submission Type: Utility Patent
Filing

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

First Named Inventor: Dan L. Eaton

SUBMITTED BY

Name:

Dr. Paul E. Rauch

Registration Number:

38591

Electronic Signature Mark: Paul E.
Rauch

Date Signed: 20020508

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

declaration

Declaration01.tif

declaration

Declaration02.tif

declaration	Declaration03.tif
declaration	Declaration04.tif
declaration	Declaration05.tif
declaration	Declaration06.tif
declaration	Declaration07.tif
declaration	Declaration08.tif
declaration	Declaration09.tif
declaration	Declaration10.tif
declaration	Declaration11.tif
declaration	Declaration12.tif
sequence-listing	Sequence_Listing_as_filed.txt
bibd-transmittal	10466438apds.xml
fee-transmittal	10466438fee.xml
specification	10466438.xml

Attached Image File(s):

Declaration01.tif
Declaration02.tif
Declaration03.tif
Declaration04.tif
Declaration05.tif
Declaration06.tif
Declaration07.tif
Declaration08.tif
Declaration09.tif
Declaration10.tif
Declaration11.tif
Declaration12.tif

[illegible]

APP_ID=10063714

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are **as stated** below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a patent is sought on the invention.

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE S

the specification of which (check one) ☒ is attached hereto or ☐ was filed on as Application Serial No. and was ☐ applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including any amendments made by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with the Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Prior
Yes

Number	Country	Day/Month/Year Filed
--------	---------	----------------------

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s)

Please see attached Appendix A (Listing 87 U.S. Provisional Patent Applications)

Application Ser. No.	Filing Date
----------------------	-------------

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below as the subject matter of each of the claims of this application is not disclosed in the prior United States application provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application national or PCT international filing date of this application:

Please see attached Appendix B (Listing 20 U.S. applications and 27 PCT applications)

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Registration No. 38,591, CUSTOMER NUMBER: 28,442

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 Telephone: 312-321-4780
 Facsimile: 312-321-4299
 Email: prauch@brinkshofer.com

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements with knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the U.S. Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent attorney to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent herein will be so notified by the undersigned.

Full name of sole or first inventor

Dan L. Eaton

Inventor's signature

Dan Eaton

10/2/01

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Full name of second joint inventor, if any

Ellen Filvaroff

Inventor's signature

Ellen Filvaroff

Date

10/1/01

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Mary E. Gerritsen

Inventor's signature

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Full name of fourth joint inventor, if any

Audrey Goddard

Inventor's signature



Date

1 OCT. 2001

Residence

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Citizenship

CA

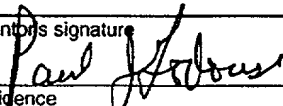
Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of fifth joint inventor, if any

Paul J. Godowski

Inventor's signature



Date

10/1/01

Residence

25 Orange Court, Hillsborough, CA 94010

Citizenship

US

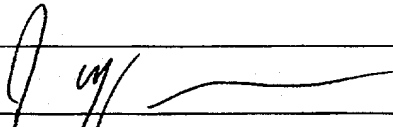
Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of sixth joint inventor, if any

J. Christopher Grimaldi

Inventor's signature



Date

10/2/01

Residence

1434 36th Avenue, San Francisco, CA 94122

Citizenship

US

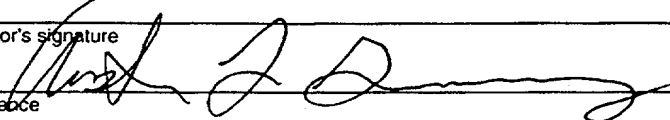
Post Office Address

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Full name of seventh joint inventor, if any

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Inventor's signature



Date

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Residence

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Citizenship

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Colin K. Watanabe

Inventor's signature

Colin K. Watanabe

Date

10.2.2001

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Citizenship

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Full name of ninth joint inventor, if any

William I. Wood

Inventor's signature

William I. Wood

Date

10/3/01

Residence

35 Southdown Court, Hillsborough, CA 94010

Citizenship

US

Post Office Address

1 DNA Way
South San Francisco, CA 94080

**COMBINED DECLARATION FOR PATENT APPLICATION
AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

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I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if multiple names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention.

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) ☒ is attached hereto or ☐ was filed on as Application Serial No. and was amended (check one) ☐ (applicable).

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Prior
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Number	Country	Day/Month/Year Filed
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Application Ser. No.	Filing Date
I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application(s) and the filing date of this application:	

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Please see attached Appendix B (Listing 20 U.S. applications and 27 PCT applications)

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
Application Ser. No.		

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
Application Ser. No.		

POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Registration No. 38,591, CUSTOMER NUMBER: 28,442

Send correspondence to:

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Chicago, IL 60611-5599
Telephone: 312-321-4780
Facsimile: 312-321-4299
Email: prauch@brinkshofer.com

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Dan L. Eaton

Inventor's signature

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South San Francisco, CA 94080

Full name of second joint inventor, if any

Ellen Filvaroff

Inventor's signature

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Post Office Address

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South San Francisco, CA 94080

Full name of fourth joint inventor, if any

Audrey Goddard

Inventor's signature

Date

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Austin L. Gurney

Inventor's signature

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Citizenship

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South San Francisco, CA 94080

Full name of eighth joint inventor, if any

Colin K. Watanabe

Inventor's signature

Date

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Citizenship

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South San Francisco, CA 94080

Full name of ninth joint inventor, if any

William I. Wood

Inventor's signature

Date

Residence

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Citizenship

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Post Office Address

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South San Francisco, CA 94080

APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications

1. 60/063435 Filed 10/29/97
2. 60/064215 Filed 10/29/97
3. 60/082797 Filed 4/22/98
4. 60/083495 Filed 4/29/98
5. 60/085579 Filed 5/15/98
6. 60/087759 Filed 6/2/98
7. 60/088021 Filed 6/4/98
8. 60/088029 Filed 6/4/98
9. 60/088030 Filed 6/4/98
10. 60/088734 Filed 6/10/98
11. 60/088740 Filed 6/10/98
12. 60/088811 Filed 6/10/98
13. 60/088824 Filed 6/10/98
14. 60/088825 Filed 6/10/98
15. 60/088863 Filed 6/11/98
16. 60/089105 Filed 6/12/98
17. 60/089514 Filed 6/16/98
18. 60/089653 Filed 6/17/98
19. 60/089952 Filed 6/19/98
20. 60/090246 Filed 6/22/98
21. 60/090444 Filed 6/24/98
22. 60/090688 Filed 6/25/98
23. 60/090696 Filed 6/25/98
24. 60/090862 Filed 6/26/98
25. 60/091628 Filed 7/2/98
26. 60/096012 Filed 8/10/98
27. 60/096757 Filed 8/17/98
28. 60/096949 Filed 8/18/98
29. 60/096959 Filed 8/18/98
30. 60/097954 Filed 8/26/98
31. 60/097971 Filed 8/26/98
32. 60/097979 Filed 8/26/98
33. 60/098749 Filed 9/1/98
34. 60/099741 Filed 9/10/98
35. 60/099763 Filed 9/10/98
36. 60/099792 Filed 9/10/98
37. 60/099812 Filed 9/10/98
38. 60/099815 Filed 9/10/98
39. 60/100627 Filed 9/16/98
40. 60/100662 Filed 9/16/98
41. 60/100683 Filed 9/17/98
42. 60/100684 Filed 9/17/98
43. 60/100930 Filed 9/17/98
44. 60/101279 Filed 9/22/98

46. 60/101738 Filed 9/24/98
47. 60/101743 Filed 9/24/98
48. 60/101916 Filed 9/24/98
49. 60/102570 Filed 9/30/98
50. 60/103449 Filed 10/6/98
51. 60/103678 Filed 10/8/98
52. 60/103679 Filed 10/8/98
53. 60/103711 Filed 10/8/98
54. 60/105000 Filed 10/20/98
55. 60/105002 Filed 10/20/98
56. 60/105881 Filed 10/27/98
57. 60/106030 Filed 10/28/98
58. 60/106464 Filed 10/30/98
59. 60/106856 Filed 11/3/98
60. 60/108807 Filed 11/17/98
61. 60/112419 Filed 12/15/98
62. 60/112422 Filed 12/15/98
63. 60/112853 Filed 12/16/98
64. 60/113011 Filed 12/16/98
65. 60/112854 Filed 12/16/98
66. 60/113300 Filed 12/22/98
67. 60/113408 Filed 12/22/98
68. 60/113430 Filed 12/23/98
69. 60/113621 Filed 12/23/98
70. 60/114223 Filed 12/30/98
71. 60/115614 Filed 1/12/99
72. 60/116527 Filed 1/20/99
73. 60/116843 Filed 1/22/99
74. 60/119285 Filed 2/9/99
75. 60/119287 Filed 2/9/99
76. 60/119525 Filed 2/10/99
77. 60/119549 Filed 2/10/99
78. 60/120014 Filed 2/11/99
79. 60/129122 Filed 4/13/99
80. 60/129674 Filed 4/16/99
81. 60/131291 Filed 4/27/99
82. 60/138387 Filed 6/9/99
83. 60/144791 Filed 7/20/99
84. 60/169495 Filed 12/7/99
85. 60/175481 Filed 1/11/00
86. 60/191007 Filed 3/21/00
87. 60/199397 Filed 4/25/00

APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed be

US APPLICATIONS

- | | | | |
|-----|------------|----------------|-----|
| 1. | 09/380139 | Filed 8/25/98 | |
| 2. | 09/311832 | Filed 5/14/99 | |
| 3. | 09/380137 | Filed 8/25/99 | ABD |
| 4. | 09/380138 | Filed 8/25/99 | ABD |
| 5. | 09/380142 | Filed 8/25/99 | ABD |
| 6. | 09/397342 | Filed 9/15/99 | |
| 7. | 09/403297 | Filed 10/18/99 | ABD |
| 8. | 09/423844 | Filed 11/12/99 | ABD |
| 9. | 09/644848 | Filed 8/22/00 | |
| 10. | 09/665350 | Filed 9/18/00 | |
| 11. | 09/664610 | Filed 9/18/00 | ABD |
| 12. | 09/709238 | Filed 11/8/00 | |
| 13. | 09/747259 | Filed 12/20/00 | |
| 14. | 09/816744 | Filed 3/22/01 | |
| 15. | 09/854208 | Filed 5/10/01 | |
| 16. | 09/854280 | Filed 5/10/01 | |
| 17. | 09/870574 | Filed 5/30/01 | |
| 18. | 09/874503 | Filed 6/5/01 | |
| 19. | 09/869599 | Filed 6/29/01 | |
| 20. | 09/908,827 | Filed 7/18/01 | |

PCT APPLICATIONS

- | | | |
|-----|----------------|----------------|
| 1. | PCT/US98/19330 | Filed 9/16/98 |
| 2. | PCT/US99/05028 | Filed 3/8/99 |
| 3. | PCT/US99/10733 | Filed 5/14/99 |
| 4. | PCT/US99/12252 | Filed 6/2/99 |
| 5. | PCT/US99/20111 | Filed 9/1/99 |
| 6. | PCT/US99/21090 | Filed 9/15/99 |
| 7. | PCT/US99/21194 | Filed 9/15/99 |
| 8. | PCT/US99/30720 | Filed 12/22/99 |
| 9. | PCT/US00/04341 | Filed 2/18/00 |
| 10. | PCT/US00/04342 | Filed 2/18/00 |
| 11. | PCT/US00/04414 | Filed 2/22/00 |
| 12. | PCT/US00/05601 | Filed 3/1/00 |
| 13. | PCT/US00/08439 | Filed 3/30/00 |
| 14. | PCT/US00/14042 | Filed 5/22/00 |
| 15. | PCT/US00/15264 | Filed 6/2/00 |
| 16. | PCT/US00/23522 | Filed 8/23/00 |
| 17. | PCT/US00/23328 | Filed 8/24/00 |
| 18. | PCT/US00/30873 | Filed 11/10/00 |
| 19. | PCT/US00/32378 | Filed 12/1/00 |
| 20. | PCT/US00/34956 | Filed 12/20/00 |

- | | | |
|-----|----------------|---------------|
| 22. | PCT/US01/06666 | Filed 3/1/01 |
| 23. | PCT/US01/17443 | Filed 5/30/01 |
| 24. | PCT/US01/17800 | Filed 6/1/01 |
| 25. | PCT/US01/19692 | Filed 6/20/01 |
| 26. | PCT/US01/21066 | Filed 6/29/01 |
| 27. | PCT/US01/21735 | Filed 7/9/01 |

NAME	RESIDENCE	DATE	TIME	REMARKS
Mr. J. H. Smith	1234 Main St.	10/15/22	10:30	Called to report fire in kitchen.
Mr. W. L. Jones	5678 Oak St.	10/15/22	11:15	Called to report fire in living room.
Mr. R. M. Brown	9012 Elm St.	10/15/22	12:00	Called to report fire in bedroom.
Mr. T. N. Green	3456 Pine St.	10/15/22	13:45	Called to report fire in bathroom.
Mr. S. P. White	7890 Cedar St.	10/15/22	14:30	Called to report fire in dining room.
Mr. Q. R. Black	2345 Birch St.	10/15/22	15:15	Called to report fire in hallway.
Mr. U. V. Gray	6789 Spruce St.	10/15/22	16:00	Called to report fire in attic.
Mr. X. Y. Blue	10111 Willow St.	10/15/22	16:45	Called to report fire in garage.
Mr. Z. A. Red	13141 Ash St.	10/15/22	17:30	Called to report fire in front porch.
Mr. B. C. Purple	16171 Hickory St.	10/15/22	18:15	Called to report fire in back porch.
Mr. D. E. Yellow	19181 Magnolia St.	10/15/22	19:00	Called to report fire in lawn.
Mr. F. G. Green	22191 Dogwood St.	10/15/22	19:45	Called to report fire in driveway.
Mr. H. I. Blue	25201 Redwood St.	10/15/22	20:30	Called to report fire in fence.
Mr. J. K. Red	28211 Sycamore St.	10/15/22	21:15	Called to report fire in shed.
Mr. L. M. Purple	31221 Juniper St.	10/15/22	22:00	Called to report fire in barn.
Mr. N. O. Yellow	34231 Cypress St.	10/15/22	22:45	Called to report fire in silo.
Mr. P. Q. Green	37241 Fir St.	10/15/22	23:30	Called to report fire in feed lot.
Mr. R. S. Blue	40251 Palm St.	10/15/22	00:15	Called to report fire in stock pen.
Mr. T. U. Red	43261 Cedar St.	10/15/22	01:00	Called to report fire in wash rack.
Mr. V. W. Purple	46271 Birch St.	10/15/22	01:45	Called to report fire in water tank.
Mr. X. Y. Yellow	49281 Spruce St.	10/15/22	02:30	Called to report fire in ice house.
Mr. Z. A. Green	52291 Fir St.	10/15/22	03:15	Called to report fire in root cellar.
Mr. B. C. Blue	55301 Pine St.	10/15/22	04:00	Called to report fire in smokehouse.
Mr. D. E. Red	58311 Oak St.	10/15/22	04:45	Called to report fire in sausage house.
Mr. F. G. Purple	61321 Elm St.	10/15/22	05:30	Called to report fire in butter house.
Mr. H. I. Yellow	64331 Maple St.	10/15/22	06:15	Called to report fire in cheese house.
Mr. J. K. Green	67341 Ash St.	10/15/22	07:00	Called to report fire in lard house.
Mr. L. M. Blue	70351 Hickory St.	10/15/22	07:45	Called to report fire in pickle house.
Mr. N. O. Red	73361 Sycamore St.	10/15/22	08:30	Called to report fire in jam house.
Mr. P. Q. Purple	76371 Dogwood St.	10/15/22	09:15	Called to report fire in nut house.
Mr. R. S. Yellow	79381 Redwood St.	10/15/22	10:00	Called to report fire in seed house.
Mr. T. U. Green	82391 Cypress St.	10/15/22	10:45	Called to report fire in grain house.
Mr. V. W. Blue	85401 Fir St.	10/15/22	11:30	Called to report fire in straw house.
Mr. X. Y. Red	88411 Pine St.	10/15/22	12:15	Called to report fire in hay house.
Mr. Z. A. Purple	91421 Oak St.	10/15/22	13:00	Called to report fire in manure house.
Mr. B. C. Yellow	94431 Elm St.	10/15/22	13:45	Called to report fire in feed house.
Mr. D. E. Green	97441 Maple St.	10/15/22	14:30	Called to report fire in water house.
Mr. F. G. Blue	100451 Birch St.	10/15/22	15:15	Called to report fire in ice house.
Mr. H. I. Red	103461 Spruce St.	10/15/22	16:00	Called to report fire in root cellar.
Mr. J. K. Purple	106471 Fir St.	10/15/22	16:45	Called to report fire in smokehouse.
Mr. L. M. Yellow	109481 Pine St.	10/15/22	17:30	Called to report fire in sausage house.
Mr. N. O. Green	112491 Oak St.	10/15/22	18:15	Called to report fire in butter house.
Mr. P. Q. Blue	115501 Elm St.	10/15/22	19:00	Called to report fire in cheese house.
Mr. R. S. Red	118511 Maple St.	10/15/22	19:45	Called to report fire in lard house.
Mr. T. U. Purple	121521 Ash St.	10/15/22	20:30	Called to report fire in pickle house.
Mr. V. W. Yellow	124531 Hickory St.	10/15/22	21:15	Called to report fire in jam house.
Mr. X. Y. Green	127541 Sycamore St.	10/15/22	22:00	Called to report fire in nut house.
Mr. Z. A. Blue	130551 Dogwood St.	10/15/22	22:45	Called to report fire in seed house.
Mr. B. C. Red	133561 Redwood St.	10/15/22	23:30	Called to report fire in grain house.
Mr. D. E. Purple	136571 Cypress St.	10/15/22	00:15	Called to report fire in straw house.
Mr. F. G. Yellow	139581 Fir St.	10/15/22	01:00	Called to report fire in hay house.
Mr. H. I. Green	142591 Pine St.	10/15/22	01:45	Called to report fire in manure house.
Mr. J. K. Blue	145601 Oak St.	10/15/22	02:30	Called to report fire in feed house.
Mr. L. M. Red	148611 Elm St.	10/15/22	03:15	Called to report fire in water house.
Mr. N. O. Purple	151621 Maple St.	10/15/22	04:00	Called to report fire in ice house.
Mr. P. Q. Yellow	154631 Birch St.	10/15/22	04:45	Called to report fire in root cellar.
Mr. R. S. Green	157641 Spruce St.			

FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 740

BANK (CREDIT) CARD INFORMATION:

Credit Card Number: 1005
Expiration Date: 20040502
Authorized Name: M HOFFMAN 10466/438
Billing Address: 60611

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 20	103	\$ 18	0	\$ 0
Independent Claims: 3	102	\$ 84	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0